# Feasibility of Radio-Frequency Identification Tags for Marking Juvenile Salmon for Pacific Salmon Commission Management Applications

B.L. Nass

K.K. English

A.C. Blakley

February 2017



Pacific Salmon Commission Technical Report No. 36

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Pacific Salmon Commission 600 - 1155 Robson Street Vancouver, B.C.V6E 1B5 (604) 684-8081 www.psc.org

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B.L. Nass K.K. English A.C. Blakley LGL Limited 9768 Second Street Sidney, BC, V8L 3Y8

For

Pacific Salmon Commission Committee on Scientific Cooperation

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## **Executive Summary**

LGL Limited was contracted by the Pacific Salmon Commission (PSC) to assess the current state of RFID technology, its suitability for application to juvenile Chinook and Coho salmon, and its potential to provide more useful and reliable information than the current CWT program. The PSC identified the following five objectives:

- 1. Review the current application of RFID tags for animal identification and management, including their advantages and limitations over current technologies.
- 2. Compare sizes, tag costs, and tag application costs of RFID tags (including PIT tags) with those of CWTs.
- 3. Review detection capabilities of RFID tags, including detection distances when embedded in animal tissue and when animals are moving through freshwater or seawater.
- 4. Evaluate the feasibility for mass screening for detection and reading of RFID tags in landings of Pacific salmon.
- 5. Evaluate the feasibility and cost of incorporating RFID microchips to replace CWT in marking juvenile salmon for coastwide Coho and Chinook salmon management.

These objectives were addressed by combining the information obtained through our review of the pertinent literature, CWT and RFID tagging programs; and structured inquiries of manufacturers of RFID tags used for tagging fish and detecting recoveries in marine fisheries, freshwater fisheries and spawning areas.

A summary of our findings and recommendations regarding each of the above objectives is provided in the following paragraphs:

**Objective 1** – There are a wide variety of RFID tags (size, shape, operating frequency, performance) and applications, although their common use is to provide the unique identification of live beings or material assets. The numerous types of RFID tags developed for hard goods are not suitable for application to fish. Physical laws strictly govern the tag size and detection range. The frequency at which a RFID tag and respective reader operate is one of the parameters which directly influences the size of tags and the distance from the reader that the tag can be energized and reliably decoded. Passive Integrated Transponder (PIT) tags are the most commonly used and effective RFID technology suitable for fish. Application of PIT tags to fish over the past 25 years has shaped the physical specifications of tags and readers produced today to provide the best characteristics of application and performance that can be achieved (i.e., 134.4 KHz) using currently available technology. The major advantage of current RFID (PIT tag) technology over CWTs for fish studies are:

a. PIT tag codes can be recovered from alive or dead fish in seconds by passing a scanner over the fish, whereas for CWT fish must be killed in order to extract and visually decode the tag. The ability to decode a PIT tag with a scanner eliminates collecting heads from fish that may or may not contain CWTs, extracting the CWT,

- decoding the CWT, recording the data and analytically handling errors and tags lost in this process. Therefore, PIT tags provide more opportunity for recoveries and a process that is substantially more timely and efficient;
- PIT tags can be detected in fish as they pass in proximity to a scanning device, including when the fish is in fresh water. CWT detectors can only be used in air.
   Further, standard PIT tags have a broader detection range than CWT. Therefore, PIT tags can be detected in more situations and conditions; and
- c. Release and recovery data is higher quality in that there are significantly fewer errors in reading, recording, and exchange. Therefore, quality control of data requires less effort, data analyses are more reliable, and require less time and costs for analysis.

The major limitation of current RFID (PIT tag) technology relative to CWTs for fish studies is cost. PIT tags are approximately 11 times more costly than CWTs, and it can take approximately 2 to 8 times longer to tag a fish with a PIT versus a CWT.

**Objective 2** – Biomark produces three sizes of PIT tags applicable to juvenile salmon (8, 9, and 12 mm in length, 1.0-1.4 mm in diameter). Read range for a 8 mm PIT tag (50 cm) is approximately half that for a 12 mm PIT tag (100 cm). The cost for these PIT tags are approximately CAD \$1.95 per tag, *preloaded in a needle*. Comparable tags without the needle can be obtained from HID Global for approximately CAD \$1.30 for bulk orders of 1 million tags. These tags are currently applied using manual (non-automated) techniques involving needles needle insertion or micro-surgery. A single trained staff using preloaded needles and a continuous supply of fish can tag approximately 100 fish per hour. Several other likely costs (e.g., location, mobile reader, available infrastructure and services, fish anesthesia method, and related data management) would need to be considered to provide an all-inclusive estimate of producing tagged fish for release. Assuming that these "other" costs are similar with CWT, then PIT application would cost approximately 11 times more for tags, and 8 times as much in technician labor for the same number of tagged fish. A broader programmatic comparison of PIT and CWT costs is provided in the section on Objective 5.

Objective 3 – There are two aspects of detection capability for RFID tags; Read Range and Read Speed. Read Range of a tag is directly related to the physical quantity and quality of core components (ferrite and windings), and influenced by the quality of the Reader and a host of environmental factors. Assuming optimal orientation in the antenna field, the smallest ultra and micro tags (2.5-6 mm) have a Read Range of 1 cm or less. Standard 12 mm tags can have a Read Range up to 100 cm (antenna array dependent), and 8 mm tags is less than 50 cm. In general, Read Range is the same in air and freshwater and is not appreciably effected by body tissue. Bit specification (e.g., 32 or 64 bit) of a Reader effects the Read speed, or how quickly a tag can be accurately scanned in the antenna field. Typically, tags can be read on the order of milliseconds. Tags passing through a field

quickly (e.g., through the spillway of dam) require a faster processing speed compared to those scanned using a hand wand.

**Objective 4** – It is technically feasible to design and implement a mass screening program that could include a variety of landing locations for Pacific Salmon. A large portion of the catch of Chinook and Coho are harvested by commercial trollers, recreational anglers, and First Nation fishers. All commercial trollers process their catch at-sea such that PIT tags in the abdominal cavity would likely be removed and lost before reaching a shore based processing facility. However, currently available PIT tag scanners are suitable for use by fishers on vessels to scan a fish before at-sea processing. On shore, creel surveyors and "fish pit" workers at fishing lodges can scan fish caught by anglers. If necessary, monitoring systems can be developed for fish processing plant operations too. There are off-the-shelf reader/antenna products that could be applicable to scanning landings, and custom applications can also be designed and fabricated.

Objective 5 – RFID (PIT) technology possesses several attributes which are preferable compared to CWT (see section on Objective 1). However, there is insufficient data at this time to determine if existing RFID (PIT tag) technology can successfully replace CWT for the purposes of the PSC. Basically, there are too few robust juvenile-to-adult-return PIT evaluation studies providing information on PIT tag loss rates and effects of PIT tagging on long term survival to confidently support the estimation of exploitation rates (see Appendix D). More specifically: there is a lack of evidence that PIT tagged subyearling Chinook have long term survival rates and tag loss rates on par with CWT subyearling Chinook.

With regard to the cost of replacing CWTs with PIT tag technology, it would not be possible to replace the coastwide CWT marking and recapture system for all Chinook and Coho stocks using currently available PIT tag technology without a very substantial increase in funding. For example: CAD \$80.6 M would be required to purchase the PIT tags needed to replace the CWTs applied to 8.1 M Coho and 53.9 M Chinook for a single recent brood year (e.g., 2009). Consequently, we focused our assessment efforts on the feasibility using existing PIT tag technology to improve the tag recovery (detection) process and estimate the costs associated with replacing CWT with PIT tags for the Chinook and Coho exploitation rate (ER) indicator stocks, where CWT data has been important for management of Canadian and US fisheries for these species. Our calculations for Chinook suggest that the costs of replacing the CWT program for the Chinook indicator stocks with existing PIT tag technology would be roughly twice the cost of the current CWT program for the Chinook indicator stocks and roughly half the current costs for the entire CWT program for Chinook salmon. Our calculations for Coho suggest that that the costs of replacing the CWT program for the Coho indicator stocks with existing PIT tag technology, while tripling the number of

tag recoveries, would be roughly four times the cost of the current CWT program for the Coho indicator stocks and roughly equal to the current costs for the CWT program BC and WA Coho salmon.

We have discussed the ideas related to improving tag recovery sampling and fisheries and escapements with several fisheries researchers, stock assessment biologist and fisheries managers in Canada and the US, and most were very interested in further exploring the feasibility of using PIT tag technology for some or all of the Chinook and Coho ER indicator stocks. Several fisheries researchers have expressed interest in how a transition from CWTs to PIT tag technology could occur. There would certainly be a period when sampling programs would need to include the capability of detecting both type of tags and combined program costs will certainly be greater during the transition years than after the transition was completed. However, a substantial reduction in the number of CWTs applied to Chinook salmon by shifting to just tag indicator stocks could save \$15 M/year and more than cover the costs of applying PIT tags to these same indicator stocks.

While a comprehensive assessment of the cost of using PIT tag technology for some or all of the Chinook and Coho ER indicator stocks was beyond the scope of this small project, the information provided in this report provides an initial assessment of the potential costs, benefits and feasibility of using existing PIT tag technology to improve the quality and quantity of information collected for the management and assessment of Chinook and Coho fisheries on the Pacific Coast.

#### **Recommendations:**

- Obtain new information from the Carson National Fish Hatchery USFWS study to
  determine comparable smolt-to-adult return rates and full life-cycle tag loss rates for
  PIT tagged and CWTs applied to spring Chinook, which should be available in the
  next six months.
- 2. Conduct a programmatic cost analysis that includes accounting for all costs from tag application through reporting. Cost information from the USFWS comparative study should be included in this assessment.
- 3. Develop a framework study design and costing to conduct a pilot program implementing the use of PIT tags on select indicator stocks. Proceed to conduct a study, if the study design and cost estimates are acceptable.
- 4. Invite selected RFID system producers to a workshop with PSC staff to explore detailed topics and develop a framework design for implementing a pilot program for a defined group of exploitation rate indicator stocks.

## Introduction

The evaluation of alternatives to the coded-wire tag (CWT) system for assessing the distribution, survival and exploitation rates for Chinook and Coho salmon stocks has been the subject of many studies and workshops over the past 20 years (e.g., Prentice et al. 1994; PSC 2005; 2015a). Passive Integrated Transponder (PIT) tags were identified in the early 1990s as a potential alternative to CWTs after initial studies showed no effect of tag type on overwinter survival for their 3 year study of two cohorts (Prentice et al. 1994; Peterson et al. 1994). More recent studies have identified concerns related to higher rates of tag loss and lower survival for PIT tagged Chinook Salmon than those marked with CWTs (Knudsen et al. 2009). These studies and the broad use of CWT and PIT tags, prompted a recent study at the Carson National Fish Hatchery to determine comparable smolt-to-adult return rates and full life-cycle tag loss rates for PIT tagged and CWTs applied to spring Chinook (USFWS 2014).

The PSC Expert Panel on the future of the CWT recovery program for Pacific salmon identified numerous deficiencies associated with the CWT program and encouraged the evaluation of alternative approaches (PSC 2005). The use of RFID technology was specifically referenced in their findings and recommendations:

"Finding 19. A number of existing or emerging electronic technologies could theoretically replace the CWT and may have substantial advantages over the CWT (e.g., tags can be read without killing the fish, unique tags for individual fish allow migration rates and patterns to be directly observed). Examples include at least Passive Induced Transponder (PIT) tags and Radio Frequency Identification (RFID) tags. PIT tags are currently too large to mark all sizes of juvenile chinook salmon released from hatcheries and are expensive relative to CWTs, but future technological improvements may reduce tag size and tag cost for these technologies."

"Recommendation 14. We recommend that a feasibility study be conducted to determine how PIT, RFID or other electronic tags might be used to generate data suitable for full cohort reconstruction."

This project was initiated to assess the current state of RFID technology, its suitability for application to juvenile Chinook and Coho salmon, and its potential to provide more useful and reliable information than the current CWT program.

# **Project Approach**

We researched the current application of RFID tags for animals, birds and fish through industry and research contacts, literature, and the Web to assess the current state of technology and potential advances that may be coming in the future that could make RFID tags more suitable than current RFID tags and coded-wire tags (CWTs) for supporting the mandate and goals of the

Pacific Salmon Commission (PSC), and in particular the estimation of Chinook and Coho salmon exploitation rates.

A variety of characteristics regarding RFID application to fisheries research and management are of interest, but primarily include the suitability of RFID for application, identification, detectability, and cost. In this regard, we developed structured interview questions for each of industry and researcher (Appendix A and B). Industry questions focused on product characteristics, and researcher questions focused on what the desired attributes of a technology would be to replace CWT. Interview data were entered into a spreadsheet for documentation. A list of individuals contacted during this study is provided in Appendix C.

Consultations with members of the Committee on Scientific Cooperation (CSC) included: the kickoff teleconference in June 2016, a progress report teleconference in September 2016 and correspondence via phone and email with Alex Wertheimer. This report provides a summary of our findings regarding each of the project objectives.

## **Project Objectives**

1) Review the current application of RFID tags for animal identification and management, including their advantages and limitations over current technologies.

There are a wide variety of RFID tags (size, shape, operating frequency, performance) and applications, although their common use is to provide the unique identification of live beings or material assets. The numerous types of RFID tags developed for hard goods are not suitable for application to fish. The largest market for RFID on animals is for pets and livestock; some tags are applied externally (e.g., ear tags) and some are injected subcutaneous (biocompatible glass capsules). Passive Integrated Transponder (PIT) tags are the most commonly used and effective RFID technology suitable for fish. PIT tags have been applied to numerous fish species and used extensively for many years to study the downstream migration of juvenile salmonids on the Columbia River and sturgeon populations on the Columbia and Fraser rivers. Regardless of the application, all RFID tags are comprised of a circuit board for operation, and an antenna for powering via a reader. Differences between tags relate mostly to their physical properties of material composition and architecture. Physical Laws strictly govern the range and limits of tag and reader performance to the extent that specific tag configurations are suitable to a similarly limited range of applications.

Physical laws strictly govern the tag size and detection range. The frequency at which a RFID tag and respective reader operate is one of the parameters which directly influences the size of tags and the distance from the reader that the tag can be energized and reliably decoded. In general, the higher the frequency, the smaller the tag and the shorter the read range. For example, 134.4 KHz PIT tags that are 1x12 mm in size will operate reliably to

50 cm, whereas 900 MHz tags that are 0.4x2.5 mm in size will operate reliably at less than 1 cm. Ultimately, there is a tradeoff between frequency, size, and read range that can't be compensated for; improvements can be made through materials and architecture (i.e., the future of RFID), however the basic physics are not changeable.

RFID tags that appropriate for insertion in fish and coding by readers in their respective environments are limited. Application of PIT tags to fish over the past 25 years has shaped the physical specifications of tags and readers produced today to provide the best characteristics of application and performance that can be achieved (i.e., 134.4 KHz) for marketable products. The smallest encapsulated *micro*-tag (6 mm operating at 13.56 MHz) is primarily used in laboratory applications where the tag and reader can be put in very close proximity (e.g., Cousin et al. 2012). *Ultra*-small wafer-style chips (non-encapsulated tags operating at 900 MHz) have been usefully applied to bees (Hitachi Chemical Co. 2015; Miller 2016; Gough 2016), bats, and birds because they are lightweight, and readers can be positioned in such close proximity to the target specimen as to be functional. However, these tags are presently not applicable or useful to the target fisheries applications of the PSC, largely because of their very small detection range (<1 cm), and the lack of proven application in fresh or salt water (Akira Nagse, Hitachi Chemical Co., pers. comm.). Further, these tags operate on a higher frequency than the more commonly used 134.4 KHz PIT tags, so they are also incompatible with the existing detection arrays in fisheries.

The use of Coded Wire Tags (CWT) to support fisheries assessment and management is longstanding and is presently the only technique used for the estimation of Chinook and Coho salmon exploitation rates by the PSC (PSC 2015a). However, changes in fish marking applications and in the time-space implementation of salmon fisheries, along with insufficient funding to operate a rigorous tag and recovery program, have made the use of CWT's less effective in achieving the goals of the PSC. Table 1-1 presents a comparison of attributes for CWT and PIT tags to context some of the similarities and differences between these methods.

Table 1-1. Attributes of CWT and RFID (PIT) tags and detection equipment.

|     |  |  |  | Tiffan et al. (2015) tagged 40-49 mm   |
|-----|--|--|--|--|
| 1.  | Tag suitable for insertion into subyearling salmon less than 60 mm                                   | Y  | Y  | fish with 8 & 9 mm PIT, and 50-59 mm with 8, 9, and 12 mm PIT  |
| 2.  | Tag suitable for insertion into adult salmon   | Υ  | Υ  |  |
| 3.  | Tag detectable in water  | N  | Υ  | NWT does not make an in-water tag detector   |
| 4.  | Tag detectable in air  | Y  | Y  |  |
| 5.  | Tag can be READ in a non-lethal manner   | N  | Y  |  |
| 6.  | Tag is READ electronically   | N  | Y  |  |
| 7.  | Tag provides data number   | 7-10 digit binary  | 15 digit decimal   |  |
| 8.  | Tag unit cost (unit cost)  | CAD \$0.12   | HID CAD \$1.30 tag<br>(bulk), Biomark \$1.95<br>(bulk) with needle | HID RFID USD \$1.00 (bulk). Biomark RFID USD \$1.75 just tag, \$1.50-\$1.70 (bulk) preloaded in needle. NWT CWT USD \$0.092  |
| 9.  | Tag applicator (unit costs) <sup>2</sup>   | multi-shot<br>injector CAD<br>\$10,300 and<br>mass injector<br>CAD \$29,000 OR<br>rental fee | CAD \$9 syringe<br>implanter/needle, \$52<br>gun implanter         | Biomark RFID implanter & needle<br>USD \$7 (\$5/\$2) \$40 implanter gun.<br>NWT CWT multi-shot injector USD<br>\$7,900 and mass injector USD<br>\$22,000   |
| 10. | Hand held scanner (unit cost)  | CAD \$5,000 OR rental fee  | CAD \$450 (bulk)   | Biomark RFID USD \$350 (bulk). NWT CWT \$3,825 T-wand  |
| 11. | Pass By scanner (unit costs) applicable to use on captured fish                                      | CAD \$5,000 OR rental fee  | CAD \$3,900 block & ring wand                                      | Biomark RFID USD \$3,000 block & ring wand. NWT CWT \$3,825 V-block  |
| 12. | Fishway/weir system (unit cost) applicable to use for free swimming fish                             | not applicable   | CAD \$6,400 fixed reader and applicable antenna                    | Suitable for fishway or counting fence applications. Biomark RFID USD \$1,425 fixed reader plus \$3,500 pass over or \$4,000 pass through or \$4,700 pass under  |
| 13. | Tag does not have long term effects on fish survival   | Y  | Limited to a single study, Inconclusive                            | Short term survival of 95% (Dixon and Mesa 2011). Long term survival of 67% to adult with alternate analyses estimating 93%. See report appendix "Mortality and Tag Retention in PIT-tagged Fish"                          |
| 14. | Long term tag loss rate is low enough to be cost effective and used for statistically valid analyses | Y  | Limited to a single study, Inconclusive                            | Short term loss rate of 0% over 39 d (Prentice et al. 1990) to 7% over 28 d (Tiffan et al. 2015). Long term loss rate of 18% to adult (Knudsen 2009). See report appendix "Mortality and Tag Retention in PIT-tagged Fish" |
| 15. | Tags can be detected using a mass screening process  | Y  | Y  | Standard configurations available, but custom applications are possible  |
| 16. | Robust detectability short range (10 cm)   | Y  | Y  | NWT v-detector 15 cm, NWT wand 5.5 cm  |
| 17. | Robust detectability long range (100 cm)   | N  | Y  | PIT can be >100 cm for powered upward substrate applications using 12 mm tags  |

<sup>&</sup>lt;sup>1</sup> All costs are retail pricing except where specifically indicated as bulk/discounted. RFID reader-antenna combinations are for a single antenna.

<sup>&</sup>lt;sup>2</sup> Costs for CWT multi-shot injectors and mass injectors represent capital costs for this equipment that should last for many years, therefore, these costs are not factored into the annual costs for the CWT program provided in later tables.

The major advantages of current PIT tag technology over CWTs for fish studies are:

- a. PIT tag codes can be recovered from alive or dead fish in seconds by passing a scanner over the fish, whereas for CWT fish must be killed in order to extract and visually decode the tag. The ability to decode a PIT tag with a scanner eliminates collecting heads from fish that may or may not contain CWTs, extracting the CWT, decoding the CWT, recording the data and analytically handling errors and tags lost in this process. Therefore, PIT tags provide more opportunity for recoveries and a process that is substantially more timely and efficient;
- PIT tags can be detected in fish as they pass in proximity to a scanning device, including when the fish is in fresh water. CWT detectors can only be used in air.
   Further, standard PIT tags have a broader detection range than CWT. Therefore, PIT tags can be detected in more situations and conditions; and
- c. Release and recovery data is higher quality in that there are significantly fewer errors in reading, recording, and exchange. Therefore, quality control of data requires less effort, data analyses are more reliable, and require less time and costs for analysis.

The major limitations of current PIT tag technology relative to CWTs for fish studies are:

- a. Cost per unit cost (CAD \$1.30) of a PIT tag is approximately 11 times that of a CWT (CAD \$0.12), and it can take approximately 2 to 8 times longer to tag a fish with a PIT versus a CWT (when using a "multi-shot" device or an electronic injector, respectively)<sup>1</sup>. Details are provided under Objective 2;
- b. Large size of PIT tags relative to CWT's. Therefore, PIT tags have been intentionally limited in their use to fish 50 mm or greater but are being tested on salmon down to 40 mm (e.g., salmon fry); and
- c. PIT tags are generally injected into the body cavity, therefore, fish must be scanned before any at-sea or shore-based processing occurs.

#### **Select Information from Interviews**

The PSC and its Chinook and Coho technical committees are not the only groups interested in identifying marking techniques that could replace the current CWT system. This goal is shared by many in the fisheries management community; in fact, the U.S. Bureau of Reclamation conducted an "Ideation Challenge Prize Competition" titled "New Concepts for Remote Fish Detection" in 2015 to generate innovative, new ideas from the general public on technologies that might address their wish list of attributes for fish tagging and recovery (Charles Hennig, USBR, Deputy Chief, Research and Development). Their premise is that the limitations with existing technologies have resulted in data that is insufficient to address

<sup>&</sup>lt;sup>1</sup> The total cost of release, recovery, and data analysis for a fish using either PIT or CWT technology can be reasonably quantified, but a precise representation is beyond the scope of this project.

the management and fiscal challenges of today's fisheries. The competition generated an array of concepts (over 30 submissions); some were incremental improvements to existing fish tracking methods, while others were entirely new concepts. None of entries proposed solutions related to RFID technology, and none of the solutions were close to being fully developed or ready for testing (Fullard and Connolly, in draft). The USBR is presently considering its next course of action with respect to supporting directed research and/or a refined Idea-Challenge.

The industry representatives interviewed during this study identified the following areas of focus for the future development of RFID technology, as related to fisheries applications:

- Continually improve the operational performance between tags and readers in terms of detection range, detection speed, on-board data memory, and uploading of data to servers;
- Optimize shape and size for some applications as based on architecture; and
- Inform the user community regarding the variability and differences in product quality across producers.

# 2) Compare sizes, tag costs, and tag application costs of RFID tags (including PIT tags) with those of CWTs.

We have confirmed that the smallest available RFID tags suitable for implanting in juvenile salmonids is the Nonatec transponder; it is 1 mm in diameter and 6 mm in length, with a mass of 10 mg (<a href="http://www.nonatec.net/">http://www.nonatec.net/</a>). These are high frequency tags (13.56 MHz) manufactured by Lutronic International in Rodange, Luxembourg (Cousin et al. 2012). Further details on this product were not pursued because of performance limitations; the read range is approximately 1 cm and the respective reader is designed for laboratory use rather than in the field (M. Begout, Ifremer French Research Institute for Exploration of the Sea, pers. comm.).

Biomark (Boise, ID) produces three sizes of PIT tags applicable to juvenile salmon (8, 9, and 12 mm in length, 1.0-1.4 mm in diameter). Read range for an 8 mm PIT tag (50 cm) is approximately half that for a 12 mm PIT tag (100 cm). The cost for these PIT tags are approximately CAD \$1.95 per tag, *preloaded in a needle*. Comparable tags without the needle can be obtained from HID Global for approximately CAD \$1.30 for bulk orders of 1 million tags. These tags are currently applied using manual (non-automated) techniques involving needles needle insertion or micro-surgery. A single trained staff using preloaded needles and a continuous supply of fish can tag approximately 100 fish per hour (Scott Gary, Biomark, pers. comm.). Several other likely costs (e.g., location, mobile reader, available

infrastructure and services, fish anesthesia method, and related data management) would need to be considered to provide an all-inclusive estimate of producing tagged fish for release. Assuming that these "other" costs are similar with CWT, then PIT application would cost approximately 11 times more for tags, and 8 times as much in technician labor for the same number of tagged fish. A broader programmatic comparison of PIT and CWT costs is provided in the section on Objective 5.

Standard CWT's are 1.1 mm length and 0.25 mm diameter with options for half-length and double-length (<a href="http://www.nmt.us/products/cwt/cwt.shtml">http://www.nmt.us/products/cwt/cwt.shtml</a>). Tags cost CAD \$0.12/tag plus the cost of an injector (purchase CAD \$41k or rental). A single trained staff using a standard injector and a continuous supply of fish can tag approximately 800 fish per hour (Northwest Marine Technology 2005). An auto-tagger device is also available for CAD \$1.8M.

There are a plethora of PIT tag suppliers, and much fewer PIT tag manufacturers in the world. Some manufacturers could be considered high-end, quality research and development firms, while many more could be considered high volume, knock-off producers of low quality products. Individual PIT tags can be purchased for as little as CAD \$0.50 each, but there is proportionally lower confidence in whether the tag will function when energized. Therefore, for large quantity, bulk purchase of PIT tags consideration should be given to complete a strong QAQC vetting process that includes on-site interviews at manufacturing facilities, independent testing, reference checks, and verification of performance with the fisheries research and management community. For high quality producers, tag failure rate is zero upon shipping. RFID manufacturers that were interviewed included:

Biomark (<a href="http://www.biomark.com/">http://www.biomark.com/</a>),
HID (<a href="https://www.hidglobal.com/products/rfid-tags/identification-technologies/animal-id">https://www.hidglobal.com/products/rfid-tags/identification-technologies/animal-id</a>),
Trovan (<a href="http://www.trovan.com/products.html">http://www.trovan.com/products.html</a>).

3) Review detection capabilities of RFID tags, including detection distances when embedded in animal tissue and when animals are moving through freshwater or seawater.

RFID tags use radio wave frequencies to transmit the tag code and thus are largely not detectable in saltwater. PIT tags can be detected in fish moving through freshwater, but the detection range depends on the size (materials and architecture) of the tag and the amount of energy that can be transmitted through the water to energize the tag. As indicated previously, the electronic field created by a RFID reader and its antenna with a tag collapses down to several centimeters in salt water, and thereby limits the application to close proximity monitoring.

There are two aspects of detection capability for RFID tags; Read Range and Read Speed. Read Range of a tag is directly related to the physical quantity and quality of core components (ferrite and windings), and influenced by the quality of the Reader and a host of environmental factors. Assuming optimal orientation in the antenna field, the smallest ultra and micro tags (2.5-6 mm) have a Read Range of 1 cm or less. Standard 12 mm tags can have a Read Range up to 100 cm (antenna array dependent), and 8 mm tags half of that. However, more typical range is on the order of 50 cm. In general, Read Range is the same in air and freshwater and is not appreciably effected by body tissue. For comparison, CWT tags are "detected" (rather than read) by changes in a magnetic field at distances of 5.5 cm for a wand to 19x33 cm for an oval tunnel.

Bit specification (e.g., 32 or 64 bit) of a Reader effects the Read speed, or how quickly a tag can be accurately scanned in the antenna field. Typically, tags can be read on the order of milliseconds. Tags passing through a field quickly (e.g., through the spillway of dam) require a faster processing speed compared to those scanned using a hand wand.

RFID (PIT) tags can be read in a variety of conditions, both watered and in the dry, in moving or stagnant water, and in containments. Antenna have been developed to include the handheld wand, "pass by" flat substrate or floating mounted plates, and "pass through" periphery configurations such as fish transfer conduits. Detection of tags can be substantially reduced in environments where specific radio frequency noise is relatively high and in proximity to a reader-antenna. However, in practice, these conditions are not common as evidenced by the variety of installations at hydroelectric facilities where RF noise can be substantial.

# 4) Evaluate the feasibility for mass screening for detection and reading of RFID tags in landings of Pacific salmon.

It is technically feasible to design and implement a mass screening program that could include a variety of landing locations for Pacific Salmon. A large portion of the catch of Chinook and Coho are harvested by commercial trollers, recreational anglers, and First Nation fishers. All commercial trollers process their catch at-sea such that PIT tags in the abdominal cavity would likely be removed and lost before reaching a shore based processing facility. However, currently available PIT tag scanners are suitable for use by fishers on vessels to scan a fish before at-sea processing. On shore, creel surveyors and "fish pit" workers at fishing lodges can scan fish caught by anglers. If necessary, monitoring systems can be developed for fish processing plant operations too. As indicated under Objective 3, there are off-the-shelf reader/antenna products that could be applicable to scanning landings, and custom applications can also be designed and fabricated.

The main advantage of RFID (PIT tag) technology over CWT technology is the ability to electronically scan a fish (live or dead) to obtain its individual digital tag code. PIT tag technology has been used successfully for many years on salmon studies within the Columbia River and ongoing studies of Columbia and Fraser River White Sturgeon. On the Fraser River, guides, anglers and test fishery operators have been given PIT tag scanners and trained to scan every Sturgeon they catch and record tag recovery data (Nelson et al. 2013). It is this significant advantage with regard to the catch sampling and tag recovery that must be exploited to make PIT tag technology a viable alternative to the current CWT technology for some stocks of Chinook, and provide more useful data for Coho than the current CWT program. For example: PIT tag scanners could be provided to every major recreational fishing lodge so that every fish landed at these lodges could be scanned and the data transmitted back to a central database. In addition, scanners could be provided to active fishing guides and "avid anglers" so they could also scan every fish caught, including those released. For commercial fisheries, it would be essential to provide PIT tag scanners to at least half, and possibly all, active trollers as a large portion of commercial catch of Chinook and Coho is taken by trollers that process their catch at sea. Since PIT tags are typically inserted into the abdominal cavity, fish would need to be scanned prior to processing. Each participating troller should be able to quickly pass every fish caught through a scanner that would record the number of fish scanned and the tag codes for each tagged fish. These data could be automatically uploaded to a central database along with date and fishing location data at the end of each fishing trip. For those stocks, where potential spawners (adults and jacks) are counted through fences, fishways or weirs, PIT tag scanners could be deployed to record the passage of any tagged fish. The strategic deployment of 400 portable PIT scanners and 50 swim-by PIT scanners should be able to increase our detection rates by at least 3 times over current CWT detection rates. Comparison of the observed and estimates recovery rates for CWTs for BC Chinook indicator stocks and all Coho indicator stocks suggests that recovery rates could be increased by 3 times by providing commercial fishers, 'avid' recreational anglers, sport fishing lodges, creel surveyors and First Nation catch monitors with PIT tag scanners; and deploying swim-by scanners at counting locations for each of the indicator stocks. At a unit cost of CAD \$450 per handheld scanner and CAD \$6,400 per swim-by scanner, the initial capital investment in a PIT tag scanning equipment would be CAD \$500,000.

5) Evaluate the feasibility and cost of incorporating RFID microchips to replace CWT in marking juvenile salmon for coastwide Coho and Chinook salmon management.

#### **Feasibility Assessment**

The basic question of feasibility rests upon whether RFID (PIT tag) technology can provide the data/information that CWT presently supplies for implementation of the Pacific Salmon Treaty; and more specifically, to fulfill the need of making reliable inferences on stock-age-fishery exploitation rates *on natural stocks*. The PSC's Joint CWT Implementation Team concluded that "no other technology has been demonstrated to be capable of providing the coast wide data needed for PST and regional stock and fishery management" for Chinook and Coho (PSC 2015a). This statement echoed the sentiment of the PSC's earlier assessment (PSC 2005).

We have demonstrated through this present investigation that RFID (PIT) technology possesses several attributes which are preferable compared to CWT (see section on Objective 1). However, through our review of readily available information, there is insufficient data at this time for two key aspects to determine if RFID (PIT) technology can successfully replace CWT for the purposes of the PSC. Basically, there are too few robust juvenile-to-adult-return PIT evaluation studies providing information on PIT tag loss rates and effects of PIT tagging on long term survival to confidently support the estimation of exploitation rates (Appendix D). More specifically,

- 1. There is a lack of evidence that PIT tagged subyearling Chinook have long term survival rates on par with CWT or untagged subyearling Chinook.
- 2. There is a lack of evidence that PIT tagged Chinook and Coho have tag loss rates on par with CWT Chinook and Coho.

One relevant study to specifically address these issues is underway now by the US Fish and Wildlife Service (USFWS 2014). Preliminary data for the first returns of PIT and CWT marked fish show no statistically different values, and an update on the study is expected in 2017. While this study will provide valuable information, it is likely that additional studies are necessary to provide conclusive information on these aspects. In this regard, a comparative study could also serve as the information base to inform a transition from the current CWT program to a mark-recapture program based on PIT tag technology.

One consideration of feasibility for implementing the use of PIT tag technology is whether tags and reader equipment can be adapted to or integrated with existing CWT processes of tagging, recovery, and data analysis. In other words, are there aspects of PIT tag technology that can be combined or used side-by-side with the existing CWT platform to achieve

efficiencies. They do have several common requirements such as power, a platform proximate to a supply of fish, and a database in which to house tag records. Other than that, the two technologies are dramatically different in functionality and they are not interchangeable. For example, a CWT detector can't code a PIT tag, and currently available PIT tag scanners can't detect a CWT. However, at least one manufacturer thinks that the two technologies are compatible in that a single unit such as a wand could be a platform to host both detection systems, should that be a desired consumer requirement. Similarly, coded wire tags can be automatically applied (no manual handling) using NWT's AutoFish system (<a href="http://www.nmt.us/products/afs/afs.shtml">http://www.nmt.us/products/afs/afs.shtml</a>), and it can't implant a PIT tag in the same way. However, strong interest from PIT tag users has one manufacturer considering the fabrication of such a device. In any case, industry will only design and build tools for users when there is sufficient demand to warrant the R&D and the associated financial risk that goes along with it.

#### **Cost Assessment**

Given the current minimum bulk price of CAD \$1.30/tag for PIT tags suitable for application to juvenile Coho and Chinook salmon, it would not be possible to replace the coastwide CWT marking and recapture system for all Chinook and Coho stocks using currently available PIT tag technology without a very substantial increase in funding. For example: CAD \$80.6 M would be required to purchase the PIT tags needed to replace the CWTs applied to 8.1 M Coho and 53.9 M Chinook for a single recent brood year (e.g., 2009). Consequently, we have focused our assessment on the feasibility using PIT tag technology to improve the tag recovery (detection) process and estimate the costs associated with replacing CWT with PIT tags for the Chinook and Coho exploitation rate (ER) indicator stocks where CWT data has been important for management of Canadian and US fisheries for these species.

The next step in our evaluation was to identify a set of ER indicator stocks for each species that would be a high priority for including in a mark-recapture program using PIT tag technology. For Chinook, the ER indicator stocks were those identified as "current CWT exploitation rate indicator stocks" (Table 2.1, PSC 2015b). For Coho, the initial set of BC and WA indicator stocks included just those stocks that have historically been important ER indicator stocks and have escapement monitoring facilities where a PIT tag detector could be deployed to detect most of the fish returning to their natal stream or hatchery (Chuck Parken, DFO, pers. comm.; Jeff Haymes, WDFW, pers. comm.). Once the indicator stocks were identified, we extracted the CWT release and recovery data from available mark-recapture databases for the 5-6 most recent brood years with complete returns. Table 5-1 provides a summary of the total CWT releases for each of the 13 Canadian and 35 US Chinook ER indicator stocks for brood years 2005-2009. The CWTs applied to these Chinook indicator stocks represent 23.5% of the total CWTs applied to Chinook salmon for these brood years.

Table 5-2 provides similar information on CWT release numbers for 10 BC and 9 Washington State (WA) Coho ER indicator stocks for brood years 2006-2011. The CWTs applied to the 19 indicator stocks represent 21.3% of the total CWT releases for BC and WA, which intern represent 75.5% of the total releases of CWT Coho for all areas (California to Alaska).

The release numbers from Table 5-1 and Table 5-2 were combined with observed and estimated CWT recoveries and cost estimates for tags, tag application, tag recovery sampling and tag decoding to derive comparable estimates of the complete brood year costs mark-recapture programs using CWT versus a proposed application of PIT tag technology for Chinook and Coho salmon. In Table 5-3, we used the observed and estimated CWT recoveries for the indicator stocks to derive estimates of the observed and estimated recoveries for all CWT Chinook. The CWT program costs estimated for all CWT applied to Chinook salmon was the sum of the tag costs (CAD \$0.12/tag), application costs (CAD \$0.12/fish), sampling costs (CAD \$26/observed tag), decoding costs (CAD \$5/observed tag) and the cost for making the data publicly available (CDN \$18/tag). The sampling, decoding and data processing costs are the CDN \$ equivalents of the US \$ costs reported in Clark (2004) and PSC (2005). All of these costs estimates are averages across the various agencies that pay for components of the CWT system and thus may not reflect the costs for any specific agency or group.

The CWT program costs for just the Chinook indicator stocks used the same calculations except the numbers of CWT applied and observed were just those for the indicator stocks. The cost estimates for using PIT tag technology for the Chinook indicator stocks were based on the following assumptions:

- 1. The number of PIT tags applied could be reduced to 1/3 of the number of CWTs applied but the numbers of tags observed could be maintained by increasing the tag recovery sampling efficiency and effort by 3 times;
- 2. The PIT tag costs are CAD \$1.30/tag (11 times the cost of a CWT) and PIT tag application costs are roughly twice those for CWT application;
- 3. PIT tags scanner would be deployed at recreational fishing lodges, with "avid anglers", commercial fishers, at processing plans and with creel survey staff in sufficient quantities to increase the tag sampling rate by 3 times;
- 4. The cost to maintain the PIT tag detection program would be CAD \$10/observed tag, excluding the initial capital cost of the PIT tag scanners; and
- 5. The PIT tag recovery data would be digital transferred from the PIT tag readers to a central PIT tag database on a daily or weekly basis (depending on the sampling location) along with information on the number of fish scanned for each species.

The relative low sampling cost for the PIT tag approach excludes the initial capital investment in PIT tag readers and training fishers and samplers to use this equipment. We have also assumed that fishers, lodge owners, creel survey programs and other sampling programs would be willing to scan Chinook and Coho as part of their daily operations at no cost with the assurance that they would be provided all the information obtained from their portion of the sampling program. We have conducted a similar program with guides, anglers, government test fisheries and First Nations as part of a sturgeon mark-recapture program on the Fraser River for the past 16 years (Nelson et al. 2013). All the tagging and scanning of sturgeon caught is done by trained program volunteers at no costs other than providing the tags and scanning equipment. We have used and continue to use the hand held Biomark duel frequency scanners (\$450/scanner) under typically wet fishing conditions. We have tested many different types of scanners and found significant issues with some scanner types. We have also tested many different models of PIT tags. The types of tags and scanners included in our cost estimates are field tested and proven equipment.

The above assumptions and calculations suggest that the costs of replacing the CWT program for the Chinook indicator stocks with existing PIT tag technology would be roughly twice the cost of the current CWT program for the Chinook indicator stocks and roughly half the current costs for the entire CWT program for Chinook salmon.

The information and methods used to estimate the current CWT program costs for all CWT applied to Coho salmon in BC and WA were similar to those described above for Chinook. The CWT program cost estimates for the 10 BC and 9 WA Coho indicator stocks were based on the total number of tags released and observed recoveries for those stocks (Table 5-4). The cost estimates for using PIT tag technology for these Coho indicator stocks were based on the following assumptions:

- 1. The number of PIT tags applied would be the same as the number of CWTs applied but the numbers of tags observed would be increased 3 fold through improvements to the tag recovery process;
- 2. The PIT tag costs are CAD \$1.30/tag (11 times the cost of a CWT) and PIT tag application costs are roughly twice those for CWT application;
- 3. PIT tags scanner would be deployed at escapement monitoring sites, recreational fishing lodges, with "avid anglers", commercial fishers, at processing plans and with creel survey staff in sufficient quantities to increase the tag sampling rate;
- 4. The cost to maintain the PIT tag detection program would be CAD \$10/observed tag, excluding the initial capital cost of the PIT tag scanners; and
- 5. The PIT tag recovery data would be digital transferred from the PIT tag readers to a central PIT tag database on a daily or weekly basis (depending on the sampling location) along with information on the number of fish scanned for each species.

These assumptions and calculations suggest that the costs of replacing the CWT program for the Coho indicator stocks with existing PIT tag technology, while tripling the number of tag recoveries, would be roughly four times the cost of the current CWT program for the Coho indicator stocks and roughly equal to the current costs for the CWT program BC and WA Coho salmon.

We have discussed the ideas related to improving tag recovery sampling and fisheries and escapements with several fisheries researchers, stock assessment biologist and fisheries managers in Canada and the US, and most were very interested in further exploring the feasibility of using PIT tag technology for some or all of the Chinook and Coho ER indicator stocks. Several fisheries researchers have expressed interest in how a transition from CWTs to PIT tag technology could occur. There would certainly be a period when sampling programs would need to include the capability of detecting both type of tags and combined program costs will certainly be greater during the transition years than after the transition was completed. However, a substantial reduction in the number of CWTs applied to Chinook salmon by shifting to just tag indicator stocks could save \$15 M/year and more than cover the costs of applying PIT tags to these same indicator stocks.

While a comprehensive assessment of the cost of using PIT tag technology for some or all of the Chinook and Coho ER indicator stocks was beyond the scope of this small project, the information provided in this report provides an initial assessment of the potential costs, benefits and feasibility of using PIT tag technology to improve the quality and quantity of information collected for the management and assessment of Chinook and Coho fisheries on the Pacific Coast.

Table 5-1. Total CWT release numbers for Canadian and US Chinook exploitation rate indicator stocks for brood years 2005-2009.

| Total CWT release by broodyear        |                          |                          |                        |                          |                          |                           |  |  |  |  |
|---------------------------------------|--------------------------|--------------------------|------------------------|--------------------------|--------------------------|---------------------------|--|--|--|--|
| Canadian Indicator Stocks             | 2005                     | 2006                     | 2007                   | 2008                     | 2009                     | Total                     |  |  |  |  |
| Atnarko Summer                        | 159,150                  | 152,767                  | 151,449                | 151,608                  | 415,107                  | 1,030,081                 |  |  |  |  |
| Big Qualicum                          | 223,084                  | 199,619                  | 205,857                | 203,540                  | 449,683                  | 1,281,783                 |  |  |  |  |
| Chilliwack (Harrison Fall Stock)      | 87,801                   | 95,382                   | 99,465                 | 99,451                   | 189,707                  | 571,806                   |  |  |  |  |
| Cowichan Fall                         | 200,183                  | 200,290                  | 408,849                | 666,580                  | 397,269                  | 1,873,171                 |  |  |  |  |
| Harrison Fall Stock (Chehalis)        | 102,312                  | 205,396                  | 208,179                | 195,420                  | 213,243                  | 924,550                   |  |  |  |  |
| Kitsumkalum Summer                    | 192,438                  | 125,939                  | 153,435                | 209,144                  | 207,658                  | 888,614                   |  |  |  |  |
| Kitsumkalum Yearling                  | 247                      | 25,888                   | 21,657                 | 46,999                   | 58,546                   | 153,337                   |  |  |  |  |
| Middle Shuswap                        | 0                        | 0                        | 0                      | 103,180                  | 146,854                  | 250,034                   |  |  |  |  |
| Nicola River Spring                   | 138,728                  | 146,476                  | 143,178                | 127,215                  | 193,131                  | 748,728                   |  |  |  |  |
| Puntledge Summer                      | 185,285                  | 179,227                  | 177,086                | 127,513                  | 87,853                   | 756,964                   |  |  |  |  |
| Quinsam Fall                          | 208,300                  | 228,141                  | 531,550                | 237,193                  | 537,575                  | 1,742,759                 |  |  |  |  |
| Robertson Creek                       | 201,013                  | 201,524                  | 216,442                | 498,054                  | 451,196                  | 1,568,229                 |  |  |  |  |
| Lower Shuswap River Summers           | 193,040                  | 199,357                  | 268,844                | 249,206                  | 483,739                  | 1,394,186                 |  |  |  |  |
| Total Release                         | 1,891,581                | 1,960,006                | 2,585,991              | 2,915,103                | 3,831,561                | 13,184,242                |  |  |  |  |
| US Indicator Stocks                   |                          |                          |                        |                          |                          |                           |  |  |  |  |
| Alaska Central Inside                 | 47,601                   | 53,690                   | 46,241                 | 64,279                   | 47,111                   | 258,922                   |  |  |  |  |
| Alaska Deer Mountain                  | 9,148                    | 10,902                   | 10,185                 | 7,914                    | 6,751                    | 44,900                    |  |  |  |  |
| Alaska Herring Cove                   | 76,911                   | 79,330                   | 76,325                 | 65,946                   | 66,215                   | 364,727                   |  |  |  |  |
| Little Port Walter                    | 133,165                  | 212,379                  | 208,616                | 235,812                  | 184,455                  | 974,427                   |  |  |  |  |
| Alaska Macaulay Hatchery              | 35,577                   | 21,794                   | 32,194                 | 31,486                   | 12,696                   | 133,747                   |  |  |  |  |
| Alaska Neets Bay                      | 59,615                   | 66,107                   | 64,273                 | 61,948                   | 56,247                   | 308,190                   |  |  |  |  |
| Chilkat Spring                        | 20,557                   | 31,148                   | 24,085                 | 16,982                   | 44,304                   | 137,076                   |  |  |  |  |
| Cowlitz Fall Tule                     | 178,376                  | 201,746                  | 202,953                | 199,872                  | 196,409                  | 979,356                   |  |  |  |  |
| Elk River                             | 189,177                  | 78,068                   | 53,022                 | 27,182                   | 212,149                  | 559,598                   |  |  |  |  |
| George Adams Fall Fingerling          | 450,473                  | 441,061                  | 440,889                | 452,919                  | 454,699                  | 2,240,041                 |  |  |  |  |
| Hanford Wild                          | 203,929                  | 208,092                  | 53,618                 | 202,320                  | 201,606                  | 869,565                   |  |  |  |  |
| Hoko Fall Fingerling                  | 67,347                   | 78,892                   | 210,854                | 67,479                   | 155,144                  | 579,716                   |  |  |  |  |
| Columbia Lower River Hatchery         | 230,174                  | 444,337                  | 453,945                | 225,164                  | 451,148                  | 1,804,768                 |  |  |  |  |
| Lewis River Wild                      | 99,452                   | 77,629                   | 54,717                 | 46,476                   | 24,380                   | 302,654                   |  |  |  |  |
| Lyons Ferry                           | 200,369                  | 191,436                  | 194,762                | 191,403                  | 199,152                  | 977,122                   |  |  |  |  |
| Nisqually Fall Fingerling             | 247,447                  | 408,834                  | 360,599                | 412,578                  | 402,643                  | 1,832,101                 |  |  |  |  |
| Nooksack Spring Fingerling            | 407,937                  | 278,614                  | 413,532                | 346,739                  | 393,328                  | 1,840,150                 |  |  |  |  |
| Queets Fall Fingerling                | 194,075                  | 201,780                  | 186,540                | 218,187                  | 214,648                  | 1,015,230                 |  |  |  |  |
| Samish Fall Fingerling                | 384,575                  | 412,204                  | 428,420                | 403,772                  | 405,502                  | 2,034,473                 |  |  |  |  |
| Skagit Spring Fingerling              | 249,673                  | 254,739                  | 220,789                | 253,993                  | 265,931                  | 1,245,125                 |  |  |  |  |
| Skagit Spring Yearling                | 149,100                  | 136,619                  | 117,117                | 152,435                  | 161,000                  | 716,271                   |  |  |  |  |
| Skykomish Fall Fingerling             | 410,728                  | 411,706                  | 399,536                | 403,194                  | 401,265                  | 2,026,429                 |  |  |  |  |
| Sooes Fall Fingerling                 | 252,446                  | 194,614                  | 252,628                | 238,849                  | 242,077                  | 1,180,614                 |  |  |  |  |
| Spring Creek Tule                     | 889,324                  | 892,618                  | 891,550                | 799,882                  | 807,781                  | 4,281,155                 |  |  |  |  |
| South Puget Sound Fall Yearling       | 163,716                  | 154,223                  | 160,196                | 101,067                  | 76,984                   | 656,186                   |  |  |  |  |
| Salmon River                          | 208,080                  | 207,362                  | 205,216                | 157,478                  | 175,033                  | 953,169                   |  |  |  |  |
| Skagit Summer Fingerling              | 206,009                  | 231,662                  | 216,200                | 108,180                  | 206,128                  | 968,179                   |  |  |  |  |
| Stillaguamish Fall Fingerling         | 202,669                  | 212,636                  | 214,567                | 185,967                  | 219,608                  | 1,035,447                 |  |  |  |  |
| Columbia Summers                      | 748,075                  | 699,759                  | 701,297                | 746,653                  | 784,449                  | 3,680,233                 |  |  |  |  |
| Taku Spring                           | 9,843                    | 24,022                   | 16,063                 | 30,804                   | 17,698                   | 98,430                    |  |  |  |  |
| Unuk Spring                           | 37,521                   | 55,578                   | 22,167                 | 53,125                   | 25,953                   | 194,344                   |  |  |  |  |
| Upriver Brights                       | 199,445                  | 424,706                  | 422,322                | 216,131                  | 1,646,129                | 2,908,733                 |  |  |  |  |
| White River Spring Yearling           | 57,391                   | 56,687                   | 54,416                 | 58,596                   | 56,503                   | 283,593                   |  |  |  |  |
| Willamette Spring                     | 806,504                  | 751,621                  | 722,007                | 846,067                  | 1,735,282                | 4,861,481                 |  |  |  |  |
| Total Release                         | 7,826,429                | 8,206,595                | 8,131,841              | 7,630,879                | 10,550,408               | 42,346,152                |  |  |  |  |
| All Chinook CWT releases              | 2005                     | 2006                     | 2007                   | 2008                     | 2009                     | Total                     |  |  |  |  |
| AK<br>BC                              | 1,191,889                | 1,492,497                | 1,425,425              | 1,520,049                | 982,146                  | 6,612,006                 |  |  |  |  |
| BC                                    | 2,790,440                | 3,042,266                | 3,460,940              | 3,704,486                | 4,691,301                | 17,689,433                |  |  |  |  |
| CA                                    | 6,971,488                | 14,703,430               | 14,592,227             | 13,600,171               | 14,935,993               | 64,803,309                |  |  |  |  |
| ID<br>OR                              | 2,499,693                | 2,742,247                | 2,903,223<br>4,728,730 | 3,763,301<br>5,905,552   | 4,080,584<br>6,780,518   | 15,989,048<br>26,740,109  |  |  |  |  |
| WA                                    | 4,763,223                | 4,562,086                | 4,728,730              | 5,905,552                | 6,780,518                | 26,740,109<br>104,169,787 |  |  |  |  |
| Total Release                         | 20,939,636<br>39,156,369 | 20,774,214<br>47,316,740 | 46,245,658             | 20,839,997<br>49,333,556 | 22,480,827<br>53,951,369 | 236,003,692               |  |  |  |  |
| Indicator % of total CWT releases     | 24.8%                    | 21.5%                    | 23.2%                  | 21.4%                    | 26.7%                    | 23.5%                     |  |  |  |  |
| indicator /0 or total C 11 I releases | <b>△</b> 7.0 /0          | <b>⊿1.</b> ∪ /0          | 0/ 20،0                | <b>21.7</b> /0           | 20.7 /0                  | 0/ كىنى                   |  |  |  |  |

Table 5-2. Total CWT release numbers for BC and WA Coho exploitation rate indicator stocks for brood years 2006-2011.

|           | Total CWT release by broodyear   |  |  |  |  |  |  |  |
|-----------|--|--|--|--|--|--|--|--|
| 2006      | 2007   | 2008   | 2009   | 2010   | 2011   | Total  |  |  |
|           |  |  |  |  |  |  |  |  |
| 45,004    | 85,841   | 42,103   | 28,261   | 140,081  | 142,788  | 484,078  |  |  |
| 10,266    | 18,810   | 8,071  | 9,658  | 8,236  | 11,003   | 66,044   |  |  |
| 43,686    | 39,798   | 45,128   | 43,049   | 58,517   | 63,805   | 293,983  |  |  |
|           |  |  | 22,252   | 21,956   | 39,009   | 83,217   |  |  |
| 32,590    | 39,241   | 26,041   | 53,124   | 50,714   | 48,284   | 249,994  |  |  |
| 88,083    | 89,630   | 87,384   | 88,148   | 85,654   | 146,531  | 585,430  |  |  |
| 40,272    | 40,381   | 21,099   | 40,161   | 38,982   | 39,899   | 220,794  |  |  |
|           | 40,689   |  |  |  | _  | 40,689   |  |  |
| 37,284    | 34,349   | 34,690   | 28,029   | 34,982   | 33,601   | 202,935  |  |  |
|           |  | 33,311   | 14,395   | 45,324   | 30,280   | 123,310  |  |  |
| 297,185   | 388,739  | 297,827  | 327,077  | 484,446  | 555,200  | 2,350,474  |  |  |
| 2006      | 2007   | 2008   | 2009   | 2010   | 2011   | Total  |  |  |
| 94,278    | 88,778   | 86,927   | 87,819   | 83,940   | 90,718   | 532,460  |  |  |
| 90,576    | 90,914   | 84,395   | 85,359   | 89,598   | 88,481   | 529,323  |  |  |
| 68,486    | 75,415   | 78,261   | 80,532   | 127,789  | 142,038  | 572,521  |  |  |
| 98,580    | 91,338   | 89,984   | 91,513   | 90,827   | 89,546   | 551,788  |  |  |
| 24,709    | 38,547   | 21,278   | 51,932   | 18,732   | 24,028   | 179,226  |  |  |
| 153,123   | 150,469  | 154,630  | 153,097  | 160,942  | 152,635  | 924,896  |  |  |
| 151,879   | 144,023  | 151,365  | 161,183  | 159,441  | 149,903  | 917,794  |  |  |
| 236,251   | 187,960  | 143,941  | 183,328  | 142,987  | 145,970  | 1,040,437  |  |  |
| 20,046    | 33,916   | 31,471   | 56,110   | 42,376   | 38,584   | 222,503  |  |  |
| 937,928   | 901,360  | 842,252  | 950,873  | 916,632  | 921,903  | 5,470,948  |  |  |
| 1,235,113 | 1,290,099  | 1,140,079  | 1,277,950  | 1,401,078  | 1,477,103  | 7,821,422  |  |  |
| 16.7%     | 16.2%  | 14.7%  | 15.7%  | 16.5%  | 16.7%  | 16.1%  |  |  |
| 22.5%     | 21.9%  | 19.1%  | 20.3%  | 21.4%  | 22.6%  | 21.3%  |  |  |
| 2006      | 2007   | 2008   | 2009   | 2010   | 2011   | Total  |  |  |
| 917,837   | 900,220  | 792,637  | 957,352  | 943,927  | 1,021,809  | 5,533,782  |  |  |
| 513,208   | 705,982  | 614,223  | 794,521  | 874,786  | 941,740  | 4,444,460  |  |  |
| 190,737   | 442,959  | 329,374  | 335,997  | 391,325  | 508,469  | 2,198,861  |  |  |
| 155,137   | 241,722  | 177,022  | 121,547  | 116,811  | 159,954  | 972,193  |  |  |
| 636,068   | 505,922  | 493,325  | 414,379  | 525,271  | 621,969  | 3,196,934  |  |  |
| 4,970,998 | 5,182,072  | 5,346,575  | 5,497,802  | 5,662,276  | 5,591,408  | 32,251,131   |  |  |
| 7,383,985 | 7,978,877  | 7,753,156  | 8,121,598  | 8,514,396  | 8,845,349  | 48,597,361   |  |  |
| 5,484.206 | 5.888.054  | 5.960.798  | 6.292.323  | 6.537.062  | 6.533.148  | 36,695,591   |  |  |
| 74.3%     | 73.8%  | 76.9%  | 77.5%  | 76.8%  | 73.9%  | 75.5%  |  |  |
|           | 45,004<br>10,266<br>43,686<br>32,590<br>88,083<br>40,272<br>37,284<br>297,185<br>2006<br>94,278<br>90,576<br>68,486<br>98,580<br>24,709<br>153,123<br>151,879<br>236,251<br>20,046<br>937,928<br>1,235,113<br>16.7%<br>22.5%<br>2006<br>917,837<br>513,208<br>190,737<br>155,137<br>636,068<br>4,970,998<br>7,383,985<br>5,484,206 | 45,004 85,841 10,266 18,810 43,686 39,798  32,590 39,241 88,083 89,630 40,272 40,381 40,689 37,284 34,349  297,185 388,739  2006 2007 94,278 88,778 90,576 90,914 68,486 75,415 98,580 91,338 24,709 38,547 153,123 150,469 151,879 144,023 236,251 187,960 20,046 33,916 937,928 901,360 1,235,113 1,290,099 16.7% 16.2% 22.5% 21.9%  2006 2007 917,837 900,220 513,208 705,982 190,737 442,959 155,137 241,722 636,068 505,922 4,970,998 5,182,072 7,383,985 7,978,877 5,484,206 5,888,054 | 45,004       85,841       42,103         10,266       18,810       8,071         43,686       39,798       45,128         32,590       39,241       26,041         88,083       89,630       87,384         40,272       40,381       21,099         40,689       33,311         297,185       388,739       297,827         2006       2007       2008         94,278       88,778       86,927         90,576       90,914       84,395         68,486       75,415       78,261         98,580       91,338       89,984         24,709       38,547       21,278         153,123       150,469       154,630         151,879       144,023       151,365         236,251       187,960       143,941         20,046       33,916       31,471         937,928       901,360       842,252         1,235,113       1,290,099       1,140,079         16.7%       16.2%       14.7%         22.5%       21.9%       19.1%         2006       2007       2008         917,837       900,220       792,637 | 45,004         85,841         42,103         28,261           10,266         18,810         8,071         9,658           43,686         39,798         45,128         43,049           22,252         32,590         39,241         26,041         53,124           88,083         89,630         87,384         88,148           40,272         40,381         21,099         40,161           40,689         33,311         14,395           297,185         388,739         297,827         327,077           2006         2007         2008         2009           94,278         88,778         86,927         87,819           90,576         90,914         84,395         85,359           68,486         75,415         78,261         80,532           98,580         91,338         89,984         91,513           24,709         38,547         21,278         51,932           153,123         150,469         154,630         153,097           151,879         144,023         151,365         161,183           236,251         187,960         143,941         183,328           20,046         33,916         31,471 | 45,004         85,841         42,103         28,261         140,081           10,266         18,810         8,071         9,658         8,236           43,686         39,798         45,128         43,049         58,517           22,252         21,956           32,590         39,241         26,041         53,124         50,714           88,083         89,630         87,384         88,148         85,654           40,272         40,381         21,099         40,161         38,982           40,689         33,311         14,395         45,324           297,185         388,739         297,827         327,077         484,446           2006         2007         2008         2009         2010           94,278         88,778         86,927         87,819         83,940           90,576         90,914         84,395         85,359         89,598           68,486         75,415         78,261         80,532         127,789           98,580         91,338         89,984         91,513         90,827           24,709         38,547         21,278         51,932         18,732           153,123         150,469 | 45,004         85,841         42,103         28,261         140,081         142,788           10,266         18,810         8,071         9,658         8,236         11,003           43,686         39,798         45,128         43,049         58,517         63,805           32,590         39,241         26,041         53,124         50,714         48,284           88,083         89,630         87,384         88,148         85,654         146,531           40,272         40,381         21,099         40,161         38,982         39,899           40,689         34,690         28,029         34,982         33,601           37,284         34,349         34,690         28,029         34,982         33,601           297,185         388,739         297,827         327,077         484,446         555,200           2006         2007         2008         2009         2010         2011           94,278         88,778         86,927         87,819         83,940         90,718           90,576         90,914         84,395         85,359         89,598         84,81           68,486         75,415         78,261         80,532         12 |  |  |

Table 5-3. Summary of total CWT release and recovery numbers for BC and US Chinook and an example of alternative cost estimates for using CWT and PIT tag technology for all BC and US Chinook indicator stocks for brood years 2005-2009.

|                        |      |                   | 2005                       | 2006               | 2007              | 2008              | 2009             |
|------------------------|------|-------------------|----------------------------|--------------------|-------------------|-------------------|------------------|
| <b>Total Releases</b>  |      |                   |                            |                    |                   |                   |                  |
| BC                     |      |                   | 2,790,440                  | 3,042,266          | 3,460,940         | 3,704,486         | 4,691,301        |
| US                     |      |                   | 36,365,929                 | 44,274,474         | 42,784,718        | 45,629,070        | 49,260,068       |
| Total                  |      |                   | 39,156,369                 | 47,316,740         | 46,245,658        | 49,333,556        | 53,951,369       |
| Indicator Stock R      | elea | ses               |                            |                    |                   |                   |                  |
| BC                     |      |                   | 1,891,581                  | 1,960,006          | 2,585,991         | 2,915,103         | 3,831,561        |
| US                     |      |                   | 7,826,429                  | 8,206,595          | 8,131,841         | 7,630,879         | 10,550,408       |
| Total                  |      |                   | 9,718,010                  | 10,166,601         | 10,717,832        | 10,545,982        | 14,381,969       |
| <b>Estimated CWT R</b> | Reco | veries (A         | All)                       |                    |                   |                   |                  |
| BC                     |      | ,                 | 8,601                      | 4,184              | 9,891             | 6,267             | 6,555            |
| US                     |      |                   | 206,640                    | 208,481            | 335,019           | 241,564           | 362,720          |
| Total                  |      |                   | 215,241                    | 212,665            | 344,909           | 247,831           | 369,275          |
| Observed CWT R         | eco  | veries ( <i>A</i> | AID.                       |                    |                   |                   |                  |
| BC                     |      | veries (1         | 2,334                      | 1,097              | 2,798             | 1,703             | 2,173            |
| US                     |      |                   | 97,113                     | 99,699             | 152,133           | 120,404           | 184,781          |
| Total                  |      |                   | 99,447                     | 100,797            | 154,931           | 122,107           | 186,954          |
| <b>Estimated CWT R</b> | 2000 | woring (I         | *                          | ,                  | - ,               | ,                 | ,-               |
| BC                     | LCCO | veries (i         | 5,830                      | 2,696              | 7,390             | 4,932             | 5,354            |
| US                     |      |                   | 44,472                     | 38,643             | 63,675            | 40,398            | 77,687           |
| Total                  |      |                   | 50,302                     | 41,339             | 71,065            | 45,330            | 83,040           |
|                        |      |                   | ,                          | 41,557             | 71,003            | 45,550            | 05,040           |
| Observed CWT R         | .eco | veries (1         | = -                        | 707                | 2.001             | 1 240             | 1 775            |
| BC<br>US               |      |                   | 1,582                      | 707                | 2,091             | 1,340             | 1,775            |
|                        |      |                   | 20,900                     | 18,480             | 28,915            | 20,136            | 39,576<br>41,351 |
| Total                  |      |                   | 22,482                     | 19,187             | 31,006            | 21,476            | 41,331           |
|                        | Co   | st/unit           | 2005                       | 2006               | 2007              | 2008              | 2009             |
| CWT Costs (All)        | Φ.   | 0.10              | <b>*</b> 4 500 <b>*</b> 54 | <b>4.5.5</b> 0.000 | <b>07.740.470</b> | <b>45.020.025</b> | 0.5.4.7.4.5.4    |
| Tags                   | \$   | 0.12              | \$4,698,764                | \$5,678,009        | \$5,549,479       | \$5,920,027       | \$6,474,164      |
| Application            | \$   | 0.12              | \$4,698,764                | \$5,678,009        | \$5,549,479       | \$5,920,027       | \$6,474,164      |
| Sampling               | \$   | 26.00             | \$2,585,615                | \$2,620,715        | \$4,028,214       | \$3,174,774       | \$4,860,815      |
| Decoding               | \$   | 5.00              | \$497,234                  | \$503,984          | \$774,657         | \$610,533         | \$934,772        |
| Data process           | \$   | 18.00             | \$1,790,041                | \$1,814,341        | \$2,788,764       | \$2,197,920       | \$3,365,180      |
| Total                  |      |                   | \$14,270,419               | \$16,295,058       | \$18,690,593      | \$17,823,281      | \$22,109,095     |
| CWT Costs (Indic       |      | -                 |                            |                    |                   |                   |                  |
| Tags                   | \$   | 0.12              | \$1,166,161                | \$1,219,992        | \$1,286,140       | \$1,265,518       | \$1,725,836      |
| Application            |      | 0.12              | \$1,166,161                | \$1,219,992        | \$1,286,140       | \$1,265,518       | \$1,725,836      |
| Sampling               | \$   | 26.00             | \$584,532                  | \$498,862          | \$806,156         | \$558,376         | \$1,075,126      |
| Decoding               | \$   | 5.00              | \$112,410                  | \$95,935           | \$155,030         | \$107,380         | \$206,755        |
| Data process           | \$   | 18.00             | \$404,676                  | \$345,366          | \$558,108         | \$386,568         | \$744,318        |
| Total                  |      |                   | \$3,433,940                | \$3,380,147        | \$4,091,574       | \$3,583,360       | \$5,477,872      |
| PIT Costs (Indicat     | tors | only)             |                            |                    |                   |                   |                  |
| Tags/3                 | \$   | 1.30              | \$4,211,138                | \$4,405,527        | \$4,644,394       | \$4,569,926       | \$6,232,187      |
| Application/3          | \$   | 0.96              | \$3,109,763                | \$3,253,312        | \$3,429,706       | \$3,374,714       | \$4,602,230      |
| Sampling x3            | \$   | 10.00             | \$224,820                  | \$191,870          | \$310,060         | \$214,760         | \$413,510        |
| Decoding               | \$   | -                 | \$0                        | \$0                | \$0               | \$0               | \$0              |
| Data process           | \$   | 12.00             | \$269,784                  | \$230,244          | \$372,072         | \$257,712         | \$496,212        |
| Total                  |      |                   | \$7,545,721                | \$7,850,709        | \$8,384,160       | \$8,159,400       | \$11,247,927     |

Table 5-4. Summary of total CWT release and recovery numbers for BC and WA Coho and an example of alternative cost estimates for using CWT and PIT tag technology for all BC and WA Coho indicator stocks for brood years 2006-2011.

| -                      |      |          | 2006            | 2007        | 2008        | 2009        | 2010        | 2011        |
|------------------------|------|----------|-----------------|-------------|-------------|-------------|-------------|-------------|
| <b>Total Releases</b>  |      |          |                 |             |             |             |             |             |
| BC                     |      |          | 513,208         | 705,982     | 614,223     | 794,521     | 874,786     | 941,740     |
| WA                     |      |          | 4,970,998       | 5,182,072   | 5,346,575   | 5,497,802   | 5,662,276   | 5,591,408   |
| Total                  |      |          | 5,484,206       | 5,888,054   | 5,960,798   | 6,292,323   | 6,537,062   | 6,533,148   |
| <b>Indicator Stock</b> | Rel  | eases    |                 |             |             |             |             |             |
| BC                     |      |          | 297,185         | 388,739     | 297,827     | 327,077     | 484,446     | 555,200     |
| WA                     |      |          | 937,928         | 901,360     | 842,252     | 950,873     | 916,632     | 921,903     |
| Total                  |      |          | 1,235,113       | 1,290,099   | 1,140,079   | 1,277,950   | 1,401,078   | 1,477,103   |
| <b>Estimated CWT</b>   | Re   | coverie  | s (All)         |             |             |             |             |             |
| BC                     |      |          | 4,460           | 2,403       | 4,416       | 4,457       | 8,043       | 4,121       |
| WA                     |      |          | 92,483          | 45,855      | 56,526      | 44,322      | 50,121      | 114,425     |
| Total                  |      |          | 137,105         | 147,201     | 149,020     | 157,308     | 163,427     | 163,329     |
| Observed CWT           | Re   | coveries | (All)           |             |             |             |             |             |
| BC                     |      |          | 961             | 673         | 1,279       | 979         | 1,566       | 950         |
| WA                     |      |          | 36,943          | 21,759      | 24,639      | 17,896      | 23,004      | 46,670      |
| Total                  |      |          | 37,904          | 22,432      | 25,918      | 18,875      | 24,570      | 47,620      |
| <b>Estimated CWT</b>   | Re   | coverie  | s (Indicators o | nly)        |             |             |             |             |
| BC                     |      |          | 3,029           | 962         | 2,329       | 2,470       | 5,706       | 2,834       |
| WA                     |      |          | 36,416          | 17,971      | 26,997      | 22,575      | 23,439      | 28,413      |
| Total                  |      |          | 39,445          | 18,934      | 29,326      | 25,045      | 29,146      | 31,247      |
| Observed CWT           | Re   | coveries | (Indicators o   | nly)        |             |             |             |             |
| BC                     |      |          | 554             | 270         | 531         | 517         | 1,062       | 618         |
| WA                     |      |          | 13,948          | 6,923       | 10,195      | 7,691       | 9,436       | 9,570       |
| Total                  |      |          | 14,502          | 7,193       | 10,726      | 8,208       | 10,498      | 10,188      |
|                        | Сс   | st/unit  | 2006            | 2007        | 2008        | 2009        | 2010        | 2011        |
| CWT Costs (All)        | )    |          |                 |             |             |             |             |             |
| Tags                   | \$   | 0.12     | \$658,105       | \$706,566   | \$715,296   | \$755,079   | \$784,447   | \$783,978   |
| Application            | \$   | 0.12     | \$658,105       | \$706,566   | \$715,296   | \$755,079   | \$784,447   | \$783,978   |
| Sampling               | \$   | 26.00    | \$985,504       | \$583,232   | \$673,868   | \$490,750   | \$638,820   | \$1,238,120 |
| Decoding               | \$   | 5.00     | \$189,520       | \$112,160   | \$129,590   | \$94,375    | \$122,850   | \$238,100   |
| Data process           | \$   | 18.00    | \$682,272       | \$403,776   | \$466,524   | \$339,750   | \$442,260   | \$857,160   |
| Total                  |      |          | \$3,173,505     | \$2,512,301 | \$2,700,574 | \$2,435,033 | \$2,772,825 | \$3,901,336 |
| CWT Costs (Ind         | lica | tors onl | <b>y</b> )      |             |             |             |             |             |
| Tags                   | \$   | 0.12     | \$148,214       | \$154,812   | \$136,809   | \$153,354   | \$168,129   | \$177,252   |
| Application            | \$   | 0.12     | \$148,214       | \$154,812   | \$136,809   | \$153,354   | \$168,129   | \$177,252   |
| Sampling               | \$   | 26.00    | \$377,052       | \$187,018   | \$278,876   | \$213,408   | \$272,948   | \$264,888   |
| Decoding               | \$   | 5.00     | \$72,510        | \$35,965    | \$53,630    | \$41,040    | \$52,490    | \$50,940    |
| Data process           | \$   | 18.00    | \$261,036       | \$129,474   | \$193,068   | \$147,744   | \$188,964   | \$183,384   |
| Total                  |      |          | \$1,007,025     | \$662,081   | \$799,193   | \$708,900   | \$850,661   | \$853,717   |
| PIT Costs (Indic       | ato  | rs only) |                 |             |             |             |             |             |
| Tags                   | \$   | 1.30     | \$1,605,647     | \$1,677,129 | \$1,482,103 | \$1,661,335 | \$1,821,401 | \$1,920,234 |
| Application            | \$   | 0.96     | \$1,185,708     | \$1,238,495 | \$1,094,476 | \$1,226,832 | \$1,345,035 | \$1,418,019 |
| Sampling x3            | \$   | 10.00    | \$435,060       | \$215,790   | \$321,780   | \$246,240   | \$314,940   | \$305,640   |
| Decoding               | \$   | -        | \$0             | \$0         | \$0         | \$0         | \$0         | \$0         |
| Data process           | \$   | 12.00    | \$522,072       | \$258,948   | \$386,136   | \$295,488   | \$377,928   | \$366,768   |
| Total                  |      |          | \$3,748,487     | \$3,390,362 | \$3,284,495 | \$3,429,895 | \$3,859,304 | \$4,010,661 |

### **Recommendations**

- Obtain new information from the Carson National Fish Hatchery USFWS study to
  determine comparable smolt-to-adult return rates and full life-cycle tag loss rates for PIT
  tagged and CWTs applied to spring Chinook, which should be available in the next six
  months.
- 2. Conduct a programmatic cost analysis that includes accounting for all costs from tag application through reporting. Cost information from the USFWS comparative study should be included in this assessment.
- 3. Develop a framework study design and costing to conduct a pilot program implementing the use of PIT tags on select indicator stocks. Proceed to conduct a study, if the study design and cost estimates are acceptable.
- 4. Invite selected RFID system producers to a workshop with PSC staff to explore detailed topics and develop a framework design for implementing a pilot program for a defined group of exploitation rate indicator stocks.

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# Appendix A

# Pacific Salmon Commission's study on the Feasibility of RFID tag for marking juvenile salmon for management applications – Product Inquiry

- 1) Does your company manufacture RFID tags suitable for internal placement in live fish?
- 2) Are you aware of your tags being used for fish?
- 3) What is the shape and composition of the tag(s)?
- 4) What are the dimensions (mm) of the smallest tag (LxWxH)?
- 5) How many data digits does the tag have?
- 6) What is the unit price of an individual tag?
- 7) What is the mechanism and related cost of applying the tag?
- 8) Are there any specific advantages or limitations of the tag?
- 9) Are you aware of any contacts or documentation regarding the long term effects of tagging on fish or tag loss rates?
- 10) Are there plans for future tags that are smaller?
- 11) Do you manufacture Reading equipment?
- 12) What type of Readers do you manufacture?
- 13) Are you aware of any contacts or documentation regarding custom Reader applications?

## Appendix B

Pacific Salmon Commission's study on the Feasibility of RFID tag for marking juvenile salmon for management applications – Researcher Inquiry

- Have you considered alternative technologies, and in particular RFID, as a method to replace CWTs?
- 2) Do you want more information (quantity) or better information (quality) from an alternative technology? Describe
- 3) Are there aspects of technology of application, detectability, or recovery that would improve the quality of the dataset or make it more cost effective?
- 4) Any reason other than improved information or cost that would be desired in an alternate technology?
- 5) Where (location and process) and in what media (air or water) would you want scanning for tags to take place?
- 6) What would be the key attributes of a tag / detection system for your applications?
- 7) Are your specimens for detection live or dead or both?
- 8) If currently available PIT tag detection systems for standard 12 mm long PIT tags were deployed at commercial landing sites for major fisheries, provided to recreational and First Nations catch monitoring crews, volunteer guides/anglers, would you consider using PIT tag technology in place of CWT tagging programs for some or all of its Chinook and Coho indicators stocks?
- 9) Specific advantages or limitations of an alternative technology relative to CWT?
- 10) Are you aware of any documentation regarding the long term effects of tagging on fish or tag loss rates?
- 11) Do you have any specific questions related to our RFID Review Project Objectives that you would like answered?

## **Appendix C**

### List of individuals contacted as part of this study

Begout, Marie-Laure. French Research Institute for Exploration of the Sea.

Brignon, Bill. U.S. Fish and Wildlife Service.

Brown, Gayle. Department of Fisheries and Oceans, Canada.

Carlile, John. Alaska Dept. Fish and Game.

Chose, David. HID Global, Sales Manager.

Cook-Tabor, Carrie. U.S. Fish and Wildlife Service.

Gary, Scott. Biomark, Vice President Sales.

Hagen-Breaux, Angelika. Washington State Dept. of Fish and Wildlife.

Haymes, Jeffery. Washington State Dept. of Fish and Wildlife.

Hennig, Charles. U.S. Bureau of Reclamation, Deputy Chief of Research and Development.

Herriott, Doug. Department of Fisheries and Oceans, Canada.

Katinic, Peter. Department of Fisheries and Oceans, Canada.

LaVoy, Larrie. U.S. Fish and Wildlife Service.

Masin, Barbara. Electronic Identification Systems (Trovan), Vice President.

Nagse, Akira. Hitachi Chemical Co., RFID Group.

Parken, Chuck. Department of Fisheries and Oceans, Canada.

Ridgway, Brenda. Department of Fisheries and Oceans, Canada.

Tiffan, Kenneth. U.S. Geological Survey.

Tompkins, Arlene. Department of Fisheries and Oceans, Canada.

Webb, Dan. Pacific States Marine Fisheries Commission.

Winther, Ivan. Department of Fisheries and Oceans, Canada.

Zimmerman, Bill. Bonneville Power Administration.

## **Appendix D**

### Mortality and Tag Retention in PIT-tagged Fish

Literature providing a thorough analysis of PIT tag effects on salmon survival in the natural environment is limited. As a result, the USGS Columbia River Research Lab (CRRL) in Cook, WA has relied on the extensive lab-based literature describing PIT tagging effects. These effects are summarized in tables (Tables 1-3) created by Ian Jerozek, fisheries researcher with USGS.

Dixon and Mesa (2011) noted that several factors can affect the survival and tag retention of PIT- tagged fish, including: methodology, tagger experience, species and size of fish, and environmental conditions.

#### Size of Fish

Several researchers within the Columbia Basin were contacted, but none them had personal experience using 6-mm PIT tags. However, Ian Jerozek, from the Columbia River Research Lab in Cook, WA, has lately been using 9-mm PIT tags to mark Steelhead from 55 to 69-mm FL. In Steelhead 70-mm FL or greater, 12-mm PIT tags are used.

Ian Jerozek, recommended reading Tiffan's et al. (2015) publication which discusses the effects of tagging on survival, especially when fish are small relative to tag size. The ability to represent a population of migratory juvenile fish with PIT tags becomes difficult when the minimum tagging size requires a fish that is larger than the average size at which fish begin to move downstream (tag weight should be less than 5% of the fish body weight ratio, ideally less than 2%). Within the Columbia River basin, the minimum size at which juvenile anadromous salmonids can be implanted with 12-mm PIT tags ranges from 55- to 60 mm FL. Based on a review of a 15-year data set collected in Idaho (Johnson Creek), two-thirds of the sub-yearling Chinook emigrants were estimated to be smaller than 60 mm FL. Recent developments of the shorter and lighter PIT tags (8- and 9-mm PIT tags) have allowed researchers to tag smaller fish, and thereby more fully represent the population prior to size-related emigration. Tiffan et al. (2015) was the first group to evaluate the 8-mm PIT tag on juvenile salmon and reported 97.8% to 100% survival rate across all trials in the 28 day study and concluded that there was no appreciable fish-size or tag size related tagging effects. Similarly, tag retention was also very high across all tests (93%-99%). However, it was emphasized that actual implantation of the smaller tags may be a bit more challenging in the field (i.e. capture and handling stressors) compared to application in the lab.

#### **Tagging methods**

#### Survival

With the 9-mm PIT tags, Ian Jerozek's lab (from CRRL) uses a micro-scalpel to make the incision. With 12-mm tags they use the standard needle method. The literature does suggest that use of scalpels minimizes effects on smaller fish (Ian Jerozek, pers. comm.). The USGS and NOAA researchers (Ian Jerozek, Theresa Liedtke, and Michelle Rub, pers. comm.) all emphasize

that sharp needles and scalpels are key. However, they will use the same needle and scalpel on multiple fish, but in order to prevent horizontal transmission of disease between fish, needles are disinfected between uses (i.e. with 70% ethanol). Conversely, Biomark Inc., was recently contracted to tag approximately 750,000 endangered Snake River Fall Chinook and Sockeye salmon and their protocol is to use new needles for each fish to prevent infection (Biomark Inc., pers. comm.).

Dixon and Mesa (2011) showed that the use of the micro-surgical technique probably contributed to the high survival of their study fish (95.6%). The advantage of using the surgical technique for implanting PIT tags in small fish is that the depth of penetration can be precisely controlled with the special micro-scalpels. In preliminary experiments, they noticed the 12-gauge needles typically used for implanting PIT tags tend to dull quickly and can cause abdominal tissue tears and occasional hemorrhages from over-insertion.

#### Tag Retention

The US FDA requires food fish to be tagged in a non-edible location of the fish. The body cavity is the typical place for implanting PIT tags (i.e. in salmonids, Biomark Inc., pers. comm.). However, there are some researchers who tag endangered species in the muscle (i.e. endangered sturgeon are tagged in the dorsal muscle or in the muscle at the back of the head). In contrast, commercial fish hatcheries in Idaho, tag brood fish (i.e. rainbow trout) in the pelvic girdle so they can easily remove the tags without damaging the edible part of the fish. Many fish hatcheries will simply cut the pelvic girdle off the fish after the final spawning, and send the remainder of the carcass to the fish market (Biomark Inc., pers. comm.). Tagging in the pelvic girdle may increase tag retention as, Bateman et al. (2009) reported a number of PIT tags in redds of coastal cutthroat trout (up to 20) indicating that tags can be lost from the body cavity via the vent during egg release. Bateman also indicated that four tags were identified as males, suggesting that both sexes can lose tags. Therefore, body cavity tagging works quite well for most species except salmonids, if recoveries are required post-spawning.

Earlier studies conducted by researchers at the Northwest Fisheries Centre (Seattle, WA) showed insertion of a PIT tag or other foreign body into a fish may cause trauma provoking a host reaction, such as, inflammation, encapsulation, and rejection. However, the Prentice et al. (1990) study, reported 100% tag retention during the 39 day study and noted no host reaction to the tag in any of the fish, concluding that the fish did not recognise the tag as a foreign body. The glass-encapsulated PIT tag appears to be biologically inert (Prentice et al. 1990).

#### **Tagger experience**

Richard et al. (2013) evaluated the effect of 12-mm PIT tag implantation on age-0 Brown Trout. The effects of implantation methods (i.e. surgical or injection) and individual tagger on survival, tag retention and growth were assessed during a 60 day hatchery experiment. Two size classes of fish (total length) were considered: small (50-55 mm FL) and large (56-63 mm FL). Of the two size classes assessed, survival, growth and tag retention significantly varied among taggers in the smaller size class as opposed to the larger class size. Based on the results, Bateman et al. (2013) recommend a minimum fish size of 55 mm (total length) for tagging with 12-mm PIT

tags. Over this size, either surgical implantation or direct injection can be performed by different taggers without altering survival, tag retention, and growth.

Dare (2003) reported that most of the tags shed in the study were collected during the first 2-d of tagging (159 tags). Although the relationship was not quantifiable, the frequency of sheds appeared to be linked to the experience of tagging personnel at the start of the study and the continuity of personnel at the tagging station. The high shedding rates observed during the first 2 days of the tagging project were most likely attributed to the learning process of the tagging crew, which was associated with the start of the project. Shed rates declined substantially by day 3 of the tagging project as the skill the tagging crew improved.

#### **Environmental conditions**

Knudsen et al. (2009) tagged upper Yakima River hatchery spring Chinook salmon (length averaging 75-78 mm FL) with PIT tags and coded wire tags in a double-tag study to see the effects of survival, behaviour, and growth on recaptures returning 6 months to 4 years after release. The study showed a 2% loss of PIT tags in juveniles prior to release and 18.4% in recaptures returning 6 months to 4 years after release. The results indicated that tag shedding did not increase significantly over time with age as most of the tag loss occurred within the first 6 months after release. After correcting for tag loss, tag induced-mortality was as high as 33.3% over all brood years.

Knudsen et al. (2009) paper was reviewed by many Columbia River basin researchers, including USGS Connolly group. Study fish in Knudsen et al. (2009) were tagged and then held for 70 to 125 days prior to release. The Connolly group thought the Knudsen et al. (1990) study should have reported if there was a growth difference in PIT and non-PIT tagged (NPT) fish between tagging and release. The Connolly group hypothesized that in a crowded raceway or holding pond type area, PIT tagged fish would have a tougher time competing for food and experience greater stress (possibly more so than in a less crowded and competitive stream environment) as they recovered from tagging and would end up outmigrating at smaller size. Smaller size fish outmigrating would result in smaller fish returning to spawn, and fish that are more at risk for predation.

The Connolly group also remarked that the Knudsen et al. (2009) reported an average reduction in survival of PIT-tagged fish compared to NPT fish of 10.3%, but the distribution was fairly skewed by the value from the 1999 brood year (33.3%). The overall median reduction in survival value was approximately 7%; although, if 1999 brood year valve was excluded it would be 4.3%. Outmigrant conditions were very tough for the 1999 brood year. However, Knudsen et al. (2009) paper records 1999 as the second highest number of fish reared, but does not address possible crowding, disease, or stress issues while rearing. After reviewing the data, the Connolly group thought the data suggested a possibility that the PIT tag mortality effect may be more pronounced with increased numbers of fish rearing. Leading to the final thought that recovery and growth potential may be higher in a natural environment than in a crowded hatchery rearing situation, particularly in streams that may not be at carrying capacity.

#### **Summary**

In conclusion, the above lab-based literature does support the experimental use of smaller PIT tags (<12 mm) for studying survival and tag retention in salmonids. However, further field trials are required to establish the actual minimum fish size for tagging and the appropriate tag size, keeping in mind the differences between laboratory and river environments. Tiffan et al. (2015) indicated that preliminary works has been initiated to determine the efficiency of PIT tag monitoring systems in detecting 8 and 9-mm tags at dams on the Snake and Columbia rivers.

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Table 1. Results from published literature from PIT tagging mortality studies on Chinook salmon Oncorhynchus tshawytscha, steelhead O. mykiss, and sockeye salmon *O. nerka*. (Created by Ian Jerozek, USGS, CRRL, Cook, WA).

| Species                     | N   | Mortality (%) | Tag<br>loss<br>(%) | Tag<br>length<br>(mm) | Fish length (mm) <sup>a</sup> | Implant<br>method | Study<br>period<br>(d) | Statistically different from control fish? | Reference            |
|-----------------------------|-----|---------------|--------------------|-----------------------|-------------------------------|-------------------|------------------------|--|----------------------|
| O. tshawytscha <sup>b</sup> | 201 | 0.5           | 0.0                | 12                    | 66 FL                         | needle            | 139                    | No   | Prentice et al. 1990 |
| O. tshawytschab             | 200 | 0.0           | 0.0                | 12                    | 78 FL                         | needle            | 135                    | No   | Prentice et al. 1990 |
| O. tshawytschab             | 201 | 0.0           | 0.0                | 12                    | 84 FL                         | needle            | 134                    | No   | Prentice et al. 1990 |
| O. tshawytscha <sup>b</sup> | 200 | 0.0           | 0.0                | 12                    | 99 FL                         | needle            | 137                    | No   | Prentice et al. 1990 |
| O. tshawytscha <sup>c</sup> | 200 | 5.0           | 1.0                | 12                    | 66 FL                         | needle            | 139                    | No   | Prentice et al. 1990 |
| O. tshawytscha <sup>c</sup> | 200 | 2.0           | 0.0                | 12                    | 77 FL                         | needle            | 135                    | No   | Prentice et al. 1990 |
| O. tshawytscha <sup>c</sup> | 203 | 5.0           | 0.0                | 12                    | 85 FL                         | needle            | 134                    | No   | Prentice et al. 1990 |
| O. tshawytscha <sup>c</sup> | 202 | 2.0           | 0.0                | 12                    | 100 FL                        | needle            | 137                    | No   | Prentice et al. 1990 |
| O. tshawytscha <sup>d</sup> | _e  | 2.0           | -                  | 12                    | yearling                      | needle            | 14                     | No   | Prentice et al. 1990 |
| O. tshawytschaf             | -   | 4.0           | -                  | 12                    | age-0                         | needle            | 14                     | No   | Prentice et al. 1990 |
| O. tshawytscha <sup>f</sup> | -   | 14.0          | -                  | 12                    | yearling                      | needle            | 14                     | No   | Prentice et al. 1990 |
| O. tshawytscha <sup>f</sup> | -   | 36.0          | -                  | 12                    | age-0                         | needle            | 14                     | No   | Prentice et al. 1990 |
| O. tshawytscha <sup>g</sup> | _   | 0.0           | 0.0                | 12                    | 67 FL                         | needle            | -                      | No   | Prentice et al. 1990 |
| O. tshawytscha <sup>g</sup> | -   | 0.0           | 0.0                | 12                    | 89 FL                         | needle            | -                      | No   | Prentice et al. 1990 |
| O. tshawytschaf             | 30  | 43.3          | 0.0                | 12                    | 137 FL                        | needle            | -                      | No   | Prentice et al. 1990 |
| O. tshawytscha <sup>f</sup> | 30  | 70.0          | 0.0                | 12                    | 111 FL                        | needle            | -                      | No   | Prentice et al. 1990 |
| O. mykiss <sup>d</sup>      | -   | 1.0           | -                  | 12                    | smolt                         | needle            | 14                     | No   | Prentice et al. 1990 |
| O. mykiss <sup>f</sup>      | -   | 11.0          | -                  | 12                    | smolt                         | needle            | 14                     | No   | Prentice et al. 1990 |
| O. mykiss <sup>g</sup>      | -   | 0.0           | 0.0                | 12                    | 83 FL                         | needle            | -                      | No   | Prentice et al. 1990 |
| O. mykiss <sup>g</sup>      | -   | 0.0           | 0.0                | 12                    | 112 FL                        | needle            | -                      | No   | Prentice et al. 1990 |
| O. mykiss <sup>g</sup>      | -   | 0.0           | 0.0                | 12                    | 171 FL                        | needle            | -                      | No   | Prentice et al. 1990 |
| O. mykiss <sup>f</sup>      | 30  | 30.0          | 0.0                | 12                    | 201 FL                        | needle            | -                      | No   | Prentice et al. 1990 |
| O. nerka                    | 200 | 0.5           | 0.0                | 12                    | 68 FL                         | needle            | -                      | No   | Prentice et al. 1990 |
| O. nerka                    | 200 | 1.0           | 1.5                | 12                    | 83 FL                         | needle            | -                      | No   | Prentice et al. 1990 |
| O. nerka                    | 200 | 3.5           | 0.0                | 12                    | 99 FL                         | needle            | -                      | No   | Prentice et al. 1990 |

 $<sup>\</sup>overline{}^a$  Reported as mean length, length range, or life stage at time of tagging. FL = fork length, TL = total length.  $\overline{}^b$  Fish were held in well water.

<sup>&</sup>lt;sup>c</sup> Fish were held in stream water.

<sup>&</sup>lt;sup>d</sup> Run of the river fish collected and held at Lower Granite Dam, OR.

e "-" = Not reported.

<sup>&</sup>lt;sup>f</sup> Run of the river fish collected and held at McNary Dam, OR.

<sup>g</sup> Fish were held in laboratory at Big Beef Creek, WA.

Table 2. Additional results from published literature on PIT tagging mortality studies of *Oncorhynchus tshawytscha* and *O. mykiss*, and results from PIT tagging mortality studies of Atlantic salmon *Salmo salar* and brown trout *S. trutta*. (Created by Ian Jerozek, USGS, CRRL, Cook, WA).

| Species        | N     | Mortality (%) | Tag<br>loss<br>(%) | Tag<br>length<br>(mm) | Fish<br>length<br>(mm) <sup>a</sup> | Implant<br>method | Study<br>period<br>(d) | Statistically different from control fish? | Reference                  |
|----------------|-------|---------------|--------------------|-----------------------|-------------------------------------|-------------------|------------------------|--|----------------------------|
| O. tshawytscha | 4,977 | 1.3           | 0.1                | 12                    | parr                                | needle            | 1                      | _b   | Achord et al. 1996         |
| S. salar       | 33    | 21.2          | 15.2               | 23                    | 64-94                               | surgical          | 32                     | -  | Roussel et al. 2000        |
| S. salar       | -     | <1.0          | <1.0               | 23                    | parr                                | surgical          | -                      | -  | Zydlewski et al. 2001      |
| S. salar       | 3,037 | 5.7           | 0.2                | 12                    | 115 FL                              | surgical          | 270                    | No   | Gries and Letcher 2002     |
| S. salar       | 135   | 22.0          | -                  | 12                    | 60-69 FL                            | surgical          | 60                     | Yes <sup>c</sup>                           | Sigourney et al. 2005      |
| O. mykiss      | 200   | 14.0          | 3.0                | 23                    | 73-97 FL                            | surgical          | 30                     | Yes  | Bateman and Gresswell 2006 |
| O. mykiss      | 2,392 | 1.8           | 7.2                | 23                    | 163 FL                              | surgical          | 120                    | $Yes^d$                                    | Hill et al. 2006           |
| S. trutta      | 145   | 20.9          | 20-30 <sup>e</sup> | 12                    | 41-70 FL                            | needle            | 27                     | $Yes^f$                                    | Acolas et al. 2007         |

<sup>&</sup>lt;sup>a</sup> Reported as mean length, length range, or life stage at time of tagging. FL = fork length, TL = total length.

b "-" = Not reported.

<sup>&</sup>lt;sup>c</sup> Fish size had a significant effect on survival.

<sup>&</sup>lt;sup>d</sup> Significantly higher mortality than control in 4 of 6 trials.

<sup>&</sup>lt;sup>e</sup> Tag loss was higher in fish <57 mm.

<sup>&</sup>lt;sup>f</sup> For fish  $\geq$ 57 mm, mortality was 1.0%.

Table 3. Results from published literature of PIT tagging mortality studies of largemouth bass *Microterus salmoides*, African catfish *Heterobranchus longfilis*, Eurasian perch *Perca fluviatilis*, bullhead *Cottus gobio*, roach *Rutilus rutilus*, rudd *Scardinus erythrophthalmus*, gilthead seabream *Sparus auratus*, bluehead sucker *Catostomus discobolus*, mottled sculpin *C. bairdii*, bonytail chub *Gila elegans*, and Gila chub *G. intermedia*. (Created by Ian Jerozek, USGS, CRRL, Cook, WA).

| Species                  | N          | Mortality (%) | Tag<br>loss<br>(%) | Tag<br>length<br>(mm) | Fish<br>length<br>(mm) <sup>a</sup> | Implant<br>method  | Study<br>period<br>(d) | Statistically different from control fish? | Reference                                  |
|--------------------------|------------|---------------|--------------------|-----------------------|-------------------------------------|--------------------|------------------------|--|--|
| M. salmoides             | 500        | 4.0           | _b                 | 21                    | 254 TL                              | needle             | -                      | -  | Harvey and Campbell 1989                   |
| H. longifilis            | 20         | 10.0          | 10.0               | -                     | age-0                               | surgery            | 28                     | -  | Baras and Westerloppe 1999                 |
| P. fluviatilis           | 212        | 12.3°         | 0.0                | 11                    | 55-96 FL                            | surgery            | 126                    | _d   | Baras et al. 2000                          |
| C. gobio                 | 6          | 0.0           | 0.0                | 12                    | >70 TL                              | surgery            | 28                     | -  | Bruyndoncx et al. 2002                     |
| R. rutilus               | 200        | < 6.0         | 0.0                | 23                    | 117-163 TL                          | surgery            | 37                     | No   | Skov et al. 2005                           |
| S. erythrophthaln        | nus 200    | < 6.0         | 0.0                | 23                    | 117-163 TL                          | surgery            | 37                     | No   | Skov et al 2005                            |
| S. auratus<br>S. auratus | 36<br>668  | 2.8<br>3.4    | 14.0<br>1.7        | 12<br>12              | fingerling fingerling               | surgery<br>surgery | 30<br>52               | No<br>No <sup>e</sup>                      | Navarro et al. 2006<br>Navarro et al. 2006 |
| C. discobolus            | 18         | 5.5           | 0.0                | -                     | 164-278 TL                          | -                  | 2-6                    | -  | Ward and David 2006                        |
| C bairdii                | 26         | 3.8           | 3.8                | 12                    | 56-83 TL                            | needle             | 28                     | -  | Ruetz et al. 2006                          |
| G. elegans<br>G. elegans | 180<br>121 | 1.1<br>14.9   | <3.0<br>6.6        | 12<br>12              | 84-132 TL<br>68-143 TL              | needle<br>needle   | 30<br>30               | -<br>_f                                    | Ward et al. 2008<br>Ward et al. 2008       |
| G. intermedia            | 210        | 1.9           | <3.0               | 12                    | 75-129 TL                           | needle             | 30                     | -  | Ward et al. 2008                           |

<sup>&</sup>lt;sup>a</sup> Reported as mean length, length range, or life stage at time of tagging. FL = fork length, TL = total length.

b "-" = Not reported.

<sup>&</sup>lt;sup>c</sup> Mortality for fish in the three groups of largest size fish was 7.1%.

<sup>&</sup>lt;sup>d</sup> Mortality for the smallest size class of fish was statistically different from the other seven size classes.

<sup>&</sup>lt;sup>e</sup> Mortality for the smallest size class of fish was statistically different from the other three size classes.

<sup>&</sup>lt;sup>f</sup> Fish were allowed access to abundant prepared feed for twelve hours prior to tagging.

<sup>&</sup>lt;sup>g</sup> Only fishes with incisions closed with sutures dies during the experiment.